#### **SEMIAC** Fortaleza 20 de fevereiro de 2024

## Inovação em Gestão para Mudanças Climáticas

#### Jose A. Puppim de Oliveira,

Professor Titular, Fundação Getulio Vargas (FGV) &

Coordenador na Área de Administração da FAPESP Visiting Chair Professor, Fudan University, China Steering Committee Member, The Belmont Forum The Problem

# Linking Global and Local

#### PROBLEMS (Global):

- Climate change
- Biodiversity
- Food, water, energy, security



#### SOLUTIONS (Local):

- Local governance: local governance structures emerge and change,
- Old problems, new agendas for implementation: global policy implementation more effective at the local level by integrating with other policies

# Linking Global and Local

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- Old problems, new agendas for implementation: global policy implementation more effective at the local level by integrating with other policies

# **Innovate for Co-benefits**



- Innovate to create opportunities for Co-benefits
- Win-win situations exist in large scale
- No need for "rocket science"



Post-Habitat III Innovations and Reforms

2 Springer



Puppim de Oliveira, 2013

# **Expansion of Opportunities through Learning**



Puppim de Oliveira, 2013

# Community-Based Waste Management Actions – Indonesia, Co-Management





The growth of CBSWM shows correlation to waste generation and disposal into landfill. There is a decreasing of solid waste about 28 % from 2008 until 2010.

# **Yogyakarta and Surabaya**







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Journal of Cleaner Production

journal homepage: www.elsevier.com/locate/jclepro

City-to-city level cooperation for generating urban co-benefits: the case of technological cooperation in the waste sector between Surabaya (Indonesia) and Kitakyushu (Japan)



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#### ARTICLE INFO

Article history: Received 20 April 2013 Received in revised form 31 July 2013 Accepted 2 August 2013 Available online 14 August 2013

#### ABSTRACT

In recent years, Surabaya has confronted municipal solid waste (MSW) with a partnership with Kiakyushu city for the use of the Takakura Home Compositing (THC) method. A large number of Takakura bins have been distributed to households and cadres have been involved in educating local households about organic waste reduction through the method. In the past decade (2005–2013), the city has reduced organic waste through many diverse composing methods such as THC and about 3421 Mt of O2, equivalent emissions could be reduced annually. By adapting the THC method, Surabaya has made



KEC KEDOPOK KOTA PROB

# Penang: Emerging

# institutional arrangements

- Promote the competition among schools (PGC)
- Provided funds for the • composting machines (MBPP)
- School recycling competition • organised by F&N Beverages Marketing Sdn Bhd and MBPP, supported by the Penang **Education Department (Fed**

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International Journal of Urban Sustainable Development, 2017 Vol. 9, No. 2, 170-183, https://doi.org/10.1080/19463138.2016.1236027



#### Breaking resilience in the urban system for improving resource efficiency: the case of the waste sector in Penang, Malaysia

#### Jose A. Puppim de Oliveira O\*

Fundação Getulio Vargas (FGV/EAESP and FGV/EBAPE), Brazil; Instituto COPPEAD, Federal University of Rio de Janeiro (UFRJ), Brazil; School of International Relations and Public Affairs (SIRPA), Fudan University, China; United Nations University International Institute for Global Health (UNU-IIGH), Kuala Lumpur, Malaysia

(Received 2 May 2016; accepted 6 September 2016)

Many have emphasised the importance of strengthening urban system resilience. However, resilience can affect cities in adverse ways. Weak governance in cities in developing countries has detrimental outcomes, which are reinforced by the strong resilience of the urban system. Thus, breaking the resilience of urban systems in the first place is necessary to advance the agenda of sustainability avoiding the return to the initial (unsustainable) state.

The paper examines the case of solid waste management (SWM) in the city of Penang Island, Malaysia. Three main factors facilitated the weakening of the system resilience and improved resource efficiency in SWM: angagement of givil society local control of waste management and institutions that bridged the





Journal of Environmental Management 233 (2019) 481-488 Contents lists available at ScienceDirect

Journal of Environmental Management journal homepage: www.elsevier.com/locate/jenvman



#### Research article

Intergovernmental relations for environmental governance: Cases of solid waste management and climate change in two Malaysian States



Jose A. Puppim de Oliveira

Pandacdo Getalio Varsas (PGV). Manazement School of Sdo Paulo (PGV/RAESP) and Brazilian School of Public Administration (PGV/RBAPE), Brazil ABSTRACT

#### ARTICLE INFO

#### Keywords: Intergovernmental relation Climate change Waster manager Developing o

Institutions for environmental governance evolve differently across sectors. They also vary in the same sector when governments at two levels (national and subnational) have different political alignments. As the policy environment becomes more complex, with global problems like climate change, and politics more dividing better coordination among various levels of government is a touch governance challenge. Scholars and practi tioners need to realize how best to build institutions to bridge the various levels of government in different political environments and environmental sectors. This research analyzes the influence of interzovernmenta relations in two environmental sectors in two localities with contrasting political alignments between two levels of government. It draws lessons from solid waste management and climate policy in two Malaysian states (Joho and Penang). In an evolving State and new policy arenas, when formal institutions for intergove lations may not be effectively in place, politics play an even larger role through the discretionary power of federal and subnational authorities. An open political process can help with the engagement of different political groups and civil society to bring legitimacy, resources and efficiency to environmental management, if it is done with robust intergovernmental institutions; otherwise, intergovernmental relations can also become a tool for cronvism and patrimonialism, which can undermine policies, and result in ineffici

# **IFWEN - Understanding Innovative** Initiatives for Governing Food, Water and Energy Nexus in Cities



#### Consortium Partners (€ 1.3 million)















#### Funded by:



#### Institutional support:

Cities of São Paulo, São José dos Campos, Florianópolis, Kunming, Gangtok, Nagpur, Dodoma, Johannesburg, Lilongwe, Antananarivo, Taipei



#### https://jpi-urbaneurope.eu/project/ifwen/



#### THE SUSTAINABLE URBANISATION **GLOBAL INITIATIVE (SUGI)** FOOD-WATER-ENERGY NEXUS



#### **Projects overview**

Project	Urban Living Lab	Thematic priority in SRIA*	EU Urban Agenda Theme	SDG	SUGI call Topic 1	SUGI call Topic 2	,
CITYFOOD	٠	•	10.9, 10.7, 12.5	11.6	٠	٠	Γ
Creating Interfaces	٠	•	10.9, 12.1, 12.4	11.6		٠	Γ
CRUNCH	٠	٠	10.7, 12.4, 12.5	11.6	٠		Γ
ENLARGE		•	10.7, 10.9	11.6	٠		
FEW-meter		•	10.9, 10.7, 12.5	11.6	٠	٠	Γ
FUSE	٠	•	10.9	11.6	٠	•	Γ
GLOCULL	٠	٠	10.9, 12.1	11.6	٠	٠	Γ
IFWEN		٠	10.9, 12.1	11.6, 11.3	٠		Ī
IN-SOURCE		•	10.9, 12.3	11.6	٠	•	ſ
METABOLIC		•	10.5	11.6			Γ
M-NEX	٠	٠	10.9, 12.5	11.6		٠	Γ
SUNEX		•	10.9, 12.1	11.6	٠		Γ
Urbanising in Place		٠	10.9, 10.7, 10.5, 10.6, 12.3, 12.4, 12.5, 12.7	11.3, 11.6		•	Γ
Vertical Green 2.0		٠	10.7, 10.9, 12.5	11.6		•	Γ
WASTE FEW ULL	•	•	10.5, 10.7, 10.9, 12.5	11.6		•	Γ

Urban Governance and Part

#### THE PROJECTS

CITYFOOD
Creating Interfaces
CRUNCH
ENLARGE
FEW-meter
FUSE
GLOCULL
IFWEN
IN-SOURCE
METABOLIC
M-NEX
SUNEX
Urbanising in Place
Vertical Green 2.0
WASTE FEW ULL











Source: IRENA (2015).



# **Green and Blue Infrastructure (GBI)**

## GBI = An interconnected network of a wide range of living landscape elements

### Types of GBI

UF: Urban forest;

GS: Green space;

ST: street trees;

### UA: Urban agriculture;

GR: Green roofs; UW: Urban wetlands.



# **IFWEN Approach to Transdisciplinarity**

Consortium Partners (interdisciplinary)

Academic Publications Evidence-based research, Review and Legitimize the Knowledge

Knowledge Generation Leading Cities: Coproduction. Report applied experiences, application of knowledge, review and comment

#### **Guidebook for Cities**



(1) Understand the innovative governance mechanisms to manage the <u>water-food-energy nexus (innovation for</u> <u>FWEN, or IFWEN)</u> focusing on <u>Green and Blue</u> <u>Infrastructure (GBI)</u> in cities;

(2) Create a <u>framework</u> to explain how cities develop institutions and gain the capabilities to innovate in FWEN based on GBI;

(3) Develop and disseminate **guidelines and tools for supporting cities to use the nexus approach**.

# (1) Understand the innovation in FWEN using GBI

# The links between GBI and nexus



Fig. 3: Conceptual framework for the effects of GBI on the FWE nexus in cities.

UF: Urban forest; GS: Green space; ST: street trees; UA: Urban agriculture; GR: Green roofs; UW: Urban wetlands.

Source: Bellezoni , Seto, Zhang, 2021

#### 4th step

#### Trans-boundary Environmental Footprints Accounting (MRIO) and Nexus Indexes

- Food Sector-energy, water, carbon
- Energy Sector-energy, water, carbon
- Water Sector-energy, water, carbon

2nd step

Aaterial Production	Installation & Construction	Operation & Maintenance	Demolition	Disposal
c	c	C	C	
nstruction materials	Green roof layers	Green roof / Open-air farming	Green roof layers	
fibers Zeolite and Sawdust	Root Drainage/ barrier filter	Fertilizor	Root Drainage/ barrier filter	C
teel Composit stextile Concrete	Water Growing retention medium	No. of Concession	Water Growing retention medium	Landfilling
peration materials	Open-air farming	PACE WHEN	Open-air ferming	Ferro metals
IDPE Steel	Fertirrigation equipment	Weeding	Fertirrigation equipment	Municipal solid waste
NO <sub>3</sub> ) <sub>2</sub> CaCl <sub>2</sub> hosphate fertilizer	Rainwater harvesting system		Rainwater harvesting system	E W
1 1	1 1	1 1	1 1	

- Life cycle energy consumption
- Life cycle water consumption
- Life cycle carbon emissions

System boundary and methodology framework.

3rd step
 In-boundary FWE-related benefits evaluation (Process-based model)
 Tomato yield—DNDC model

 <sup>1</sup>
 <sup>1</sup>

#### 1st step

#### **Extracting Roof Area (GIS)**

 Digitalizing the building footprint of 5% and 10% land samples in case cities



Meng et al., 2022



#### São José dos Campos (left) and Johannesburg (right).

Meng et al., 2022

#### GBI Typologies citations in the Africa, Asia and Latin America Regions (total 332)



Macedo, Picavet, Shih, Oliveira, 2021

# Survey (ICLEI Database)

- Map the innovations in GBI in ICLEI members (82 Cities)
- Type of innovation
- Why they were created (motivation)
- Impacts
- Understand the institutional arrangements

# **Cities Use GBIs for Different Purposes and FWEN Implications**



# **In-Depth Cases**

Sao Jose dos Campos, Brazil





#### Florianópolis, Brazil

Linking community gardens and composting, 2021.

Source: City of Florianópolis, Cultiva Floripa program. http://cultivafloripa.pmf.sc.gov.br

#### Individuals in Collaborative Governance for Environmental Management

Julio César Zambrano-Gutiérrez <sup>0,12</sup> · Laura Silvia Valente de Macedo <sup>0,23</sup> · Marc Eric Barda Picavet <sup>0</sup>/<sub>0</sub> · Jose Antonio Puppim de Oliveira <sup>0,24,5</sup>

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#### Abstract

Analyzing the effect of individual participants on collaborative governance processes in environmental management has





Kunming, China,

Dian Lake, Payment for Ecosystem Services 2022.





Gangtok, India Organic Waste Compost Machine.

Photo Source: ICLEI IFWEN Case Study, 2021





Johannesburg, South Africa School Greening Project, 2016.

Photo Source: Kumba Energy Report on School Greening Project (2016)





#### Lilongwe, Malawi

Lingazi River greening, 2020

Source: UNA Rivers Project, ICLEI AFRICA





#### Nagpur, India

Wastewater treatment plant for reuse

Source: Ministry of Power, Government of India, 2020. In ICLEI IFWEN Case studies series



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Tackling climate change through circular economy in cities

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# 2) A framework to explain how cities innovate in GBI

## How do cities innovate and learn?



# The role of learning mechanisms on a collective learning process



Zambrano and Puppim de Oliveira, 2021

# Learning mechanisms

Source	Туре	Example
External	Local NGOs or civil society groups	Training to improve organic agriculture capacities of local farmers implemented by local NGOs (e.g., Tanzania Organic Agriculture Movement) in Dodoma, Tanzania.
	Local universities and research institutes	Research about best practices and succesful cases to improve the food, water, energy nexus for Florianópolis, Brasil.
	Technical assistance, suppliers, or consultants	Organic waste composer provider (Reddonatura India Pvt. Ltd.) conducted training to the staff of the Gangtok Municipal Corportation, India.
	Users	In order to reduce conflict between actors, the organizations in the river project (UNA Rivers) learned from women composters and male market vendors about the need for educating about who benefit financially from the food waste at Lilongwe, Malawi.
	Foreign organizations or other cities	USAID provided technical support with the objetive to treat 100% of the sewage water through sewage treatment plants managed by Nagpur Municipal Corporation, India.
	Hiring expertise	Experts from the Dutch government designed a plan to reduce flood risk through green spaces in Panama City, Panama.
Internal	Internal training	The staff from San Jose os Campos received internal training to prepare the management plan to regulate its first Brazilian municipal environmental protected area.
	Learning from experimentation	Experimental showcase in Antananarivo (Madagascar) for the local waste management organization (SAMVA) to expand treatment sites and produce biogas, electricity, compost, and fertilizers.
	Knowledge sharing/socialization	Interns from the National Expanded Public Works Program (EPWP) trasfer knowledge about the operation and mantainance of technical equipment (e.g, biogas digester) to teachers that are part of the School Greening Project at Johannesburg, South Africa.

# High dependency from external sources for policy learning



# The dynamics of sources of knowledge for innovation in Local Governments



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# How can Transnational Municipal Networks foster local collaborative governance regimes?



#### Abstrac

While there is abundant literature on Transnational Municipal Networks (TMNs) and collaborative governance regimes (CGRs) to respond to environmental change, few studies address TMNs as exogenous agents driving CGRs dynamics locally. TMNs have emerced as inmortant actors in multilevel eovernance, providing formal structures for local **Innovation and Strategic** Leadership in the Public Sector: Understanding Collaborative Arrangements in Florianopolis



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 Disseminate guidelines and tools for supporting cities to use GBI for the nexus approach

# **Assessment of IFWEN in Practice**

Combine the sectoral approach with thematic interdisciplinary institutional analyses using a case studies



# Main Publications



Special Issue in the Journal of Cleaner Production "Innovations in Green and Blue Infrastructure in Cities: Analyzing the Impacts on Natural Resources and Global Change"

https://www.sciencedirect.com/journal/journal-ofcleaner-production/special-issue/10L8CZF5QCJ

Introduction:

"Innovations in Urban Green and Blue Infrastructure: Tackling local and global challenges in cities" <u>https://authors.elsevier.com/a/1fBGz\_LqUdNKHd</u>



#### INNOVATING IN URBAN GREEN AND BLUE INFRASTRUCTURE TO IMPROVE THE FOOD-WATER-ENERGY NEXUS

An Implementation Guide for Cities and Subnational Governments

Research Team at FGV-EAESP Research Team at ICLEI Laura Valente de Macedo Pourya Salehi Rodrigo A. Beliezoni Paul Currne José A. Puppim de Diveira Arry Jones



Guidebook for Innovation in Nexus: ISBN: 978-65-00-42290-0. https://hdl.handle.net/10438/32062



#### Water-Energy-Food Nexus and Climate Change in Cities

Springer

Series ISSN2523-3084. https://link.springer.com/book /9783031054716

#### More information and contacts: jose.puppim@fgv.br https://jpi-urbaneurope.eu/project/ifwen/ www.ifwen.org

Develop and disseminate guidelines and tools for supporting cities to use the nexus approach.

**PEGASuS PROGRAM FOR EARLY-STAGE GRANTS ADVANCING** SUSTAINABILITY SCIENCE





#### INNOVATING IN URBAN GREEN AND **BLUE INFRASTRUCTURE TO IMPROVE** THE FOOD-WATER-ENERGY NEXUS

An Implementation Guide for Cities and Subnational Governments

Research Team at FWY GARDP Labora Compression des Missionitis Rodrigs & Bellamon Josef A. Pragamite de Officierte

Research Team at 10,45 Paul Long Array parate











Convention o



**ICLEI World Congress** Malmö, Sweden • 2021 - 2022

KATOWICE, POLAND | 26-30 JUNE 2022

# Amazonia+10 Project

**Innovation for Creating Sustainable Value: Understanding Global Value Chains in the Amazon** 

# Projeto Amazônia +10

Inovação para Criação de Valores Sustentáveis: Entendendo as Cadeias Globais de Valor na Amazônia

# Team

(Funding: FAPESP FAPERJ FAPEAM FAPESPA)

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	Mendes			
Prof. Philip Shapira	University of Manchester			
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Prof. Eduardo Andrade	Imperial College London			

# **Objectives of the Project**

- Analyze the sustainability-oriented governance models of GVCs in the Amazon, and its impacts on the environment and communities,
- Identify the the features of the governance of sustainability in GVC led by firms in the Global South
- Understand the links between private and public governance mechanisms

# Amazonia+10

- WP1. Analytical Framework (Leaders: JP, KN)
- WP2. Sectorial Analyses (Leaders: LM, UM).
- WP3. Value Chain Analysis (Leaders: LM, AC).
- WP4. Strategies for Sustainable Innovation (Leaders: PS, PF, AB).
- WP5. Governance and Institutions (Leaders: JP, KN).
- WP6. Consumer Behavior (Leaders: YV, EA).
- WP7. Impacts of GVCs on Localities (Leader: JC).
- WP8. Effects of Environmental Changes on GVCs (Leader CS).
- WP9. Policy Integration (Leader: JC, CS).

# WP1 - Analytical Framework

#### **GLOBAL VALUE CHAINS and SDGs**



#### Core questions:

- 1) What are the GVC governance models in place in the Amazon? How have them being shaped by local and global institutions? What are the impacts on companies and localities?
- 2) How will the expectations for sustainability reach GVCs and individual companies? Since they can't be directly regulated by countries, will it be via companies self-regulation and/or market governance mechanisms, such as voluntary ESG standards? Will Agenda 2030 directly influence GVCs? If so, how? How is the governance of GVCs addressing Agenda 2030? How would this happen?
- 3) How do GVCs that are subject to voluntary private standards influence local governance?
- 4) How the dynamics of GVCs influence and are influenced by global agendas?



















# Main points on Innovation and Climate Policies

- Climate change, biodiversity loss, pandemics and other global challenges can pose a threat to food, water, energy systems in cities in the tropics. <u>But well positioned with the wealth of biodiversity.</u> <u>Need of Innovation</u>!
- Local governments (LGs) have little leverage on the governance of climate change,
- Innovation does <u>not need to be high tech</u>
- Innovation goes <u>beyond technological innovations</u> (e.g., social innovation)
- Other factors <u>beyond economics (e.g., culture)</u> explain the appearance of innovative places/innovation systems
- Individuals and organizations are important, but their interactions are key to understand innovative places, and those <u>interactions</u> determine learning
- Public policies can <u>nurture</u> the development of place-based innovations, but can also <u>hurt</u>

# Selected Main Academic Publications from IFWEN

- Meng, F., Yuan, Q., Bellezoni, R. A., Puppim de Oliveira, J. A., Cristiano, S., Shah, A. M., ... & Seto, Karen C. (2023). Quantification of the food-water-energy nexus in urban green and blue infrastructure: A synthesis of the literature. *Resources, Conservation and Recycling*, *188*, 106658.
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